



IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Thor R. Halseth et al.

Title: FLUID COLLECTION DEVICE WITH CAPTURED RETRACTABLE NEEDLE

Docket No.: P01404US3

Serial No.: 10/698,763

Filed: October 31, 2003

Due Date: N/A

Examiner: Unknown

Group Art Unit: 3763

**MS Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

☒ A return postcard and this transmittal document (1 pg.).

☒ Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.).

Customer Number: 26152

By Paul S. Evans  
Paul S. Evans  
Reg. No. 36,130

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 1 day of March, 2007.

Laura Bray  
Name

Laura Bray  
Signature

(GENERAL)



S/N 10/698,763

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |  |                 |           |
|-------------|--|-----------------|-----------|
| Applicant:  | Thor R. Halseth et al.                                   | Examiner:       | Unknown   |
| Serial No.: | 10/698,763   | Group Art Unit: | 3763      |
| Filed:      | October 31, 2003   | Docket:         | P01404US3 |
| Title:      | FLUID COLLECTION DEVICE WITH CAPTURED RETRACTABLE NEEDLE |                 |           |

---

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**MS Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-3542 in order to have this Supplemental Information Disclosure Statement considered.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a) (2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Serial No :10/698,763

Filing Date: October 31, 2003

Title: FLUID COLLECTION DEVICE WITH CAPTURED RETRACTABLE NEEDLE

---

Page 2  
Dkt: P01404US3

patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a) (2).

Respectfully submitted,

THOR R. HALSETH ET AL.

By their Representatives,

**CUSTOMER NUMBER: 26152**

**801-298-3360**

Date:

By



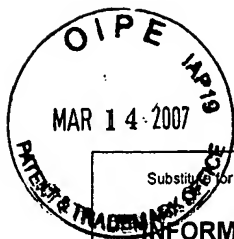
Paul S. Evans

Reg. No. 36,130

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 8 day of March, 2007.

Laura Bray  
Name

Laura Bray  
Signature



|   |   |    |   |                               |                  |
|---|---|----|---|-------------------------------|------------------|
| <div>Substitute for form 1449A/PTO</div> <div><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></div> <div>(Use as many sheets as necessary)</div> |   |    |   | <i>Complete if Known</i>      |                  |
|   |   |    |   | Application Number            | 10/698,763       |
|   |   |    |   | Filing Date                   | October 31, 2003 |
|   |   |    |   | First Named Inventor          | Halseth, Thor    |
|   |   |    |   | Art Unit                      | 3763             |
|   |   |    |   | Examiner Name                 | Unknown          |
| Sheet   | 1 | of | 1 | Attorney Docket No: P01404US3 |                  |

| US PATENT DOCUMENTS |         |                     |                  |   |                            |
|---------------------|---------|---------------------|------------------|---|----------------------------|
| Examiner Initial *  | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|                     |         | US-4813426          | 03/21/1989       | Haber, T. M., et al.                            | 11/09/1987                 |
|                     |         | US-5188599          | 02/23/1993       | Botich, M. J., et al.                           | 02/15/1991                 |
|                     |         | US-5411487          | 05/02/1995       | Castagna, J. F.                                 | 12/07/1992                 |
|                     |         | US-5423758          | 06/13/1995       | Shaw, T. J.                                     | 12/16/1993                 |

| FOREIGN PATENT DOCUMENTS |         |                     |                  |   |                |
|--------------------------|---------|---------------------|------------------|---|----------------|
| Examiner Initials *      | Cite No | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | T <sup>2</sup> |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS |                      |   |  |  | T <sup>2</sup> |
|--|----------------------|---|--|--|----------------|
| Examiner Initials *                                | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |                |

EXAMINER

DATE CONSIDERED